

Investment and securities business

Terms and conditions for your assets





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01

Terms and conditions for institutional asset management

Fees for asset management

Model	Rate
Plus	up to 2.00%
Individual	up to 1.50%

The "Plus" asset management fee includes securities account charges, transaction charges, account management fees, asset management fees and foreign-exchange commissions.

The "Individual" asset management fee is in addition to securities account charges, transaction charges, account management fees and foreign-exchange commissions.

All fees and charges are exclusive of any third-party charges and VAT, if applicable.

For a price plan change, we will charge a one-off fee of 0.5% of the value of the securities account.

Fees for consulting

Model	Rate
Plus	up to 2.00%
Individual	up to 1.50%

The "Plus" consulting fee includes securities account charges, transaction charges, account management fees, asset management fees and foreign-exchange commissions.

The "Individual" consulting fee is in addition to securities account charges, transaction charges, account management fees and foreign-exchange commissions.

All fees and charges are exclusive of any third-party charges and VAT, if applicable.

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Securities transactions

Securities commissions and other fees

Type	Rate
Shares and similar securities Minimum fee	1.25% of the price EUR 100.–
Bonds and similar securities Minimum fee	0.75% of the price EUR 100.–
Options and warrants Minimum fee	1.25% of the price EUR 150.–
Certificates Minimum fee	1.25% EUR 100.–
Futures Minimum fee	EUR 3.– per contract EUR 150.–
Funds Minimum fee	1.25% EUR 100.– Issue premium as set out in the prospectus in addition to the charges shown
Hedge funds Minimum fee	1.25% EUR 300.– Issue premium as set out in the prospectus in addition to the charges shown
Capital measures	0.25% of the price
Order fee for limit orders Minimum fee	0.25% EUR 35.–
Physical acquisition / surrender of securities	at cost
Collection fee, repayment credits, dividend credits, coupon credits, fund distributions	0.25% of the amount
Securities contract fee	EUR 100.–

All fees and charges are exclusive of any third-party charges and VAT, if applicable.

Securities account charges

Securities	Rate
Securities account charge	1.00% p.a.
Minimum fee per securities account	EUR 125.– per quarter

Securities account transfer fees

Type	Rate
Within the LLB Group	free
From a third-party bank	free
To a third-party bank	EUR 60.–

All fees and charges are exclusive of any third-party charges and VAT, if applicable.

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Other terms and conditions

Cash transactions

Deposits / withdrawals	Rate
Deposits / withdrawals EUR	0.50% of the amount
Deposits / withdrawals foreign currency	1.00% of the amount
Minimum	EUR 50.–

Money transfers

SEPA and Non-SEPA	Rate
SEPA money transfer up to EUR 50'000.–	free
SEPA money transfer from EUR 50'000.–	0.25 % of the amount, minimum EUR 25.–
Non-SEPA money transfer in all currencies	0.25 % of the amount, minimum EUR 25.–
Express money transfers	EUR 30.–
Notice of rejection of a payment order	EUR 25.–
Revocation of a payment order after the deadline for irrevocability	EUR 50.–
Recovery of an amount due to false client identification	EUR 75.–

Money-market and foreign-exchange trading

Type	Rate
Contract fee for money-market and foreign-exchange trading	EUR 36.–
Foreign-exchange commissions	0.50%
Minimum fee	EUR 40.–

All fees and charges are exclusive of any third-party charges and VAT, if applicable.

Account management

Type of account	Rate
Personal account *	EUR 50.– per quarter
Numbered account *	EUR 100.– per quarter
Sub-account	EUR 25.– per quarter
Basic account **	EUR 20.– / EUR 10.– per quarter
Postal costs	EUR 40.– per quarter
Banking service fee individuals ***	EUR 300.– per quarter
Banking service fee legal entities ***	EUR 450.– per quarter
Account overdraft	EONIA / LIBOR + 5.00%
Account balance	EONIA / LIBOR – 3.00%
Account closure	EUR 50.–

* contains all services incl. securities account

** securities account not included

*** The banking service fee applies to all international clients residing or domiciled outside the EEA. The banking service fee covers the personal account, electronically retained mail, postal costs, internet access to an overview of the securities account, the cross-border fee, and the fee for closing the account.

Other fees

Type	Rate
Credit cards	upon request
Access to the internet portfolio system	EUR 50.– per quarter
Premature termination of time deposit *	0.10% of the investment amount
Commission on fiduciary deposits	2.00% p. a.
Maintenance of precious metal account	1.00% p. a.
Securities account fee precious metals	0.50% p. a.
Physical delivery of precious metals	2.00% of the price, plus transport
Registration for shareholders' meeting	EUR 40.–
Request for voting slips	EUR 48.–
Duplicates	EUR 15.–
Reprint of contract note	EUR 18.– per statement
Statement of balances	EUR 200.–
Statement of income	EUR 200.–
List of tax statements	EUR 200.–
Special disclosures in writing	Hourly rate EUR 200.–
Research	Hourly rate EUR 200.–
Estate processing	0.20% of the assets
Other consultancy services (family services, account administration service)	Hourly rate EUR 200.–

All fees and charges are exclusive of any third-party charges and VAT, if applicable.

Charges billed by Group companies shall be considered third-party charges.

* If time deposits are terminated prematurely, 0.10% of the investment amount per month of the required period of retention is charged as an interest penalty. However, the interest penalty charged cannot be higher than the sum of interest to be paid.

Other fees

Type	Rate
Address research / civil registration information	EUR 24.–
Charges for late payment reminders	EUR 10.–
Charges for due payment reminders	EUR 20.–
Execution of levy orders	EUR 2.20 per payment
Return debit notes	EUR 8.50
Special disclosures in writing (e.g. blocking of pledges, estate notifications, tax declaration information)	EUR 60.–

Unless otherwise agreed, Liechtensteinische Landesbank (Österreich) AG shall adjust the fees for the services stated in this price list in accordance with the change of the Consumer Price Index (CPI) 2010 published by Statistics Austria or an index replacing the same on the basis of the general price development and inflation in Austria.

The fees shall be adjusted once a year as of 1 January of every calendar year. Adjustments shall be made according to the proportion in which the yearly average of the CPI for the calendar year immediately preceding the adjustment has changed in comparison with the yearly average of the CPI for the last but one calendar year before the adjustment.

In the case that the yearly average of the CPI has decreased, Liechtensteinische Landesbank (Österreich) AG shall reduce the fees in any case; in the case of an increase, Liechtensteinische Landesbank (Österreich) AG may refrain from adjusting the fees.

Changes of fees that go beyond those described in paragraph two shall be agreed between the consumer / client and Liechtensteinische Landesbank (Österreich) AG. This can also be done through an offer from Liechtensteinische Landesbank (Österreich) AG to the consumer / client which is not objected to by the consumer / client within a reasonable period of time.

Liechtensteinische
Landesbank (Österreich) AG
Hessgasse 1
1010 Vienna · Austria

Telephone +43 1 536 16-0
Fax +43 1 536 16-900
Internet www.llb.at
E-mail llb@llb.at